

Annex D Summary of the controlled wood evaluation process (informative)

Summary of the steps required for controlled wood evaluations as prescribed in this standard:

1. Implement FSC chain of custody requirements as per *FSC-STD-40-004*.
2. Develop written procedures covering all applicable elements of the standard *FSC-STD-40-005 V3-1 Requirements for Sourcing FSC Controlled Wood*.
3. Develop DDS (Section 1).
4. Obtain information on material (Section 2, including CITES).
5. Assess the risk for supply areas and supply chains (Section 3). This involves the use of an FSC risk assessment and/or the organization developing its own risk assessment (Annex A, Figure 5).
6. For low risk areas and where there is no risk of mixing in the supply chain, no additional actions are required. Material can be used as controlled material or sold with the FSC Controlled Wood claim. Documentation shall be maintained, and an annual review and internal audit shall be conducted.
7. In the case of risk:
 - Implement control measures
 - Check the effectiveness during internal audits

The steps described above are illustrated in a flowchart on the next page (Figure 6).

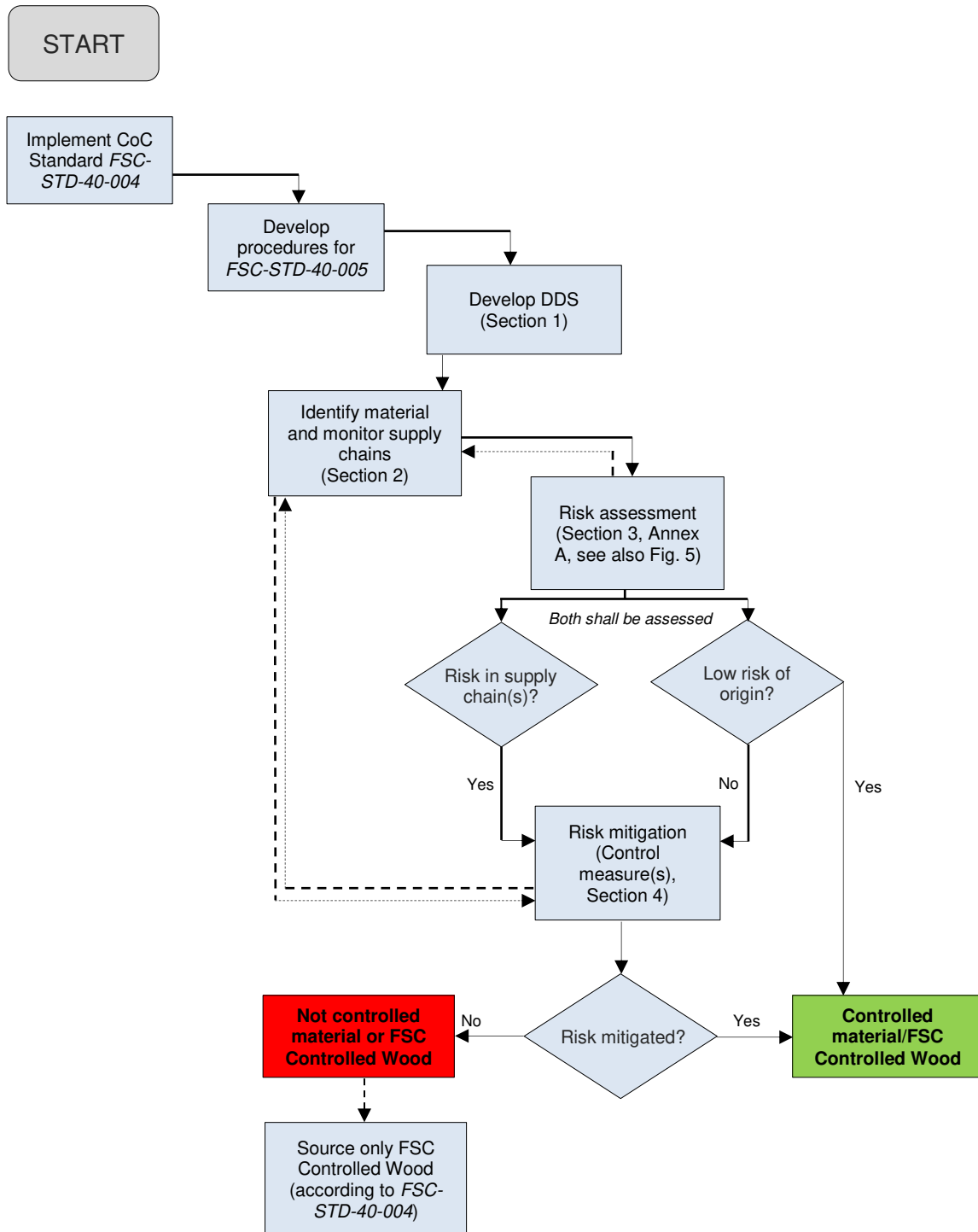


Figure 6. Summary of the controlled wood evaluation process