

Annex H Matrix of changes between versions 2-1 and 3-1 of the standard (informative)

V2-1	Changes in V3-1
A Scope	Designed as a due diligence system, and therefore includes risk mitigation and not only verification through a verification program. Limited to material supplied without an FSC claim.
B Standard effective date	01 July 2016
C References	Requires the use of approved FSC risk assessments, approved controlled wood documents (therefore any national guidance approved by FSC is included), and a separate procedure for conducting an extended company risk assessment where relevant.
D Terms and definitions	Changes and updates in key definitions, introducing integrity in FSC normative documents.
Part 1: Quality system requirements	
1. Company policy	Not required – the revised standard requires the implementation of all its requirements. The requirement for a policy has been revised in the revision of the standard <i>FSC-STD-40-004</i> .
2. Procedures	The requirements have been included in Section 5.
3. Training	The requirements have been removed as it is already covered by the superior standard <i>FSC-STD-40-004</i> . However, requirements for competence were kept.
4. Records	The requirement has been kept and extended with the collection of information to be made publicly available. Different sets of information are required depending on the risk designation of the supply area.
Part 2: FSC Controlled Wood supply requirements	
5. Supplier identification	Knowledge about suppliers remains a requirement. Documentation focuses on material and not on suppliers. Material must be identified as originating from a low or specified risk area according to risk designations provided in the FSC risk assessment. Requirements for information on supply chains have been included.
6. FSC-certified inputs from FSC-certified suppliers	Excluded from V3-1. Requirements for FSC-certified inputs, and inputs from certification according to <i>FSC-STD-30-010</i> , are included in the standard <i>FSC-STD-40-004</i> .
7. FSC Controlled Wood inputs from suppliers certified to deliver FSC Controlled Wood	Excluded from V3-1. Requirements for FSC certified inputs, and inputs from certification according to <i>FSC-STD-30-010</i> are included in the standard <i>FSC-STD-40-004</i> .
8. FSC Controlled Wood inputs from non-FSC-certified suppliers	Version 3-1 of the standard provides requirements for non-FSC-certified supplies

	only, including material that was previously certified/controlled but supplied without an FSC claim.
9. Uncontrolled wood inputs	Requirements were aligned with the CoC requirements in <i>FSC-STD-40-004</i> – mixing with non-eligible inputs is not allowed. This can be avoided by implementing adequate control measures.
10. Species listed on CITES	The requirements for certificates for CITES species have been incorporated into requirements for obtaining information on material.
Part 3: Risk assessment and verification program	
11. Risk assessment	<p>The standard requires the use of FSC risk assessments where available. Where not available (in unassessed areas), the standard provides requirements for a risk assessment by the organization (detailed in Annex A), which may either involve a company risk assessment, based on Annex 2 of version 2-1 of the standard, or an extended company risk assessment. Requirements for an extended company risk assessment are included in the procedure addendum <i>FSC-PRO-60-002a</i>.</p> <p>The scope of publicly available information on the assessments has been extended. The term 'district' has been replaced by the term 'supply area'. The documentation of the origin of material must allow the material to be traced back to the area of homogeneous risk within the supply area and be consistent with areas assessed by the NRA (or CNRA). Documentation confirming the supply units of origin is required if/when field verification is implemented as a control measure. Please note that risk categories have changed.</p>
12. Verification program for wood supplies identified as coming from low risk sources	The requirements for sourcing from low risk areas have been kept, with the addition of requirements for assessing risk of mixing in supply chains.
13. Verification program for wood supplies from sources with unspecified risk	<p>The requirements for the verification of material from unspecified risk areas have been replaced by the requirements for risk mitigation when sourcing from specified risk areas.</p> <p>Risk mitigation is based on risk specifications provided in the relevant risk assessment. The standard also regulates risk mitigation if unspecified risk is designated in a risk assessment.</p> <p>Field verification and stakeholder consultation (conducted by the organization) are possible control measures and may not always be necessary. Control measures may</p>

	also only verify documentation, or ensure that material is excluded.
14. Complaints mechanism	The requirements have been kept and extended.
Part 4: Sale of FSC Controlled Wood	The requirements have been removed as they are covered by the superior standard <i>FSC-STD-40-004</i> .
15. Supplying FSC Controlled Wood	The requirements have been removed as they are covered by the superior standard <i>FSC-STD-40-004</i> .
Annexes	
Annex 1 Glossary of terms	The Annex has been removed and the relevant references are provided in the Terms and Definitions section.
Annex 2 Criteria for assessing risk	The Annex has been removed and the relevant requirements were revised and included in Annex A.
Annex 3 Requirements for company verification program	The requirements have been replaced by the requirements for DDS, included in the main body of the standard.
Annex 4 Provisions for claims related to FSC Controlled Wood	The Annex has been removed. The requirements are covered by existing standards applicable for CoC-certified organizations (<i>FSC-STD-40-004</i> , <i>FSC-STD-50-001</i>).
ADVICE-40-005-01 The concept of "district" in risk assessments	'District' has been replaced by 'supply area'. Requirements were incorporated into Annex A.
ADVICE-40-005-02 Complaints about controversial activities within suppliers' FMUs	Requirements regarding complaints have been revised. They include requirements for what the organization shall do when complaints related to areas designated as low risk are received.
ADVICE-40-005-03 Can a manufacturing or trading site be defined as "district" for the purpose of conducting a risk assessment?	The requirements have been removed, as the revised standard does not require the clarification included in the Advice Note.
ADVICE-40-005-04 What kind of documentation and control is required to verify the geographical district of origin?	The requirements have been incorporated into those for evidence of origin.
ADVICE-40-005-05 Inclusion of manufacturing/trading sites in the company's Controlled Wood verification program	The requirements have been removed, as the revised standard does not require the clarification included in the Advice Note.
ADVICE-40-005-06 Public availability of risk assessments	The requirements have been incorporated into those for reporting, and Annex A.
ADVICE-40-005-07 Minimum content and revision of publicly available risk assessment results	
ADVICE-40-005-08 What to do in cases where two companies reach contradicting results in their risk assessments of a district?	The requirement has been removed, as it is applicable for certification bodies, and not the organization. See relevant accreditation standard <i>FSC-STD-20-011</i> .
ADVICE-40-005-09 Use of guidance and risk designations developed by FSC-accredited National Initiatives	Any additional national requirements shall be approved by FSC and included in <i>FSC-PRO-60-002b</i> . The standard references these and states that applicable national requirements are mandatory.

ADVICE-40-005-10 When shall an FSC Controlled Wood code be issued by the certification body?	The requirement has been removed, as it is applicable for certification bodies, and not the organization. See relevant accreditation standard <i>FSC-STD-20-011</i> .
ADVICE-40-005-11 Do other parts of the standard need to be implemented or evaluated for sales activities of FSC Controlled Wood?	Sales and purchases of FSC Controlled Wood are consistent with the standard <i>FSC-STD-40-004</i> .
ADVICE-40-005-12 Use of the Transparency International Corruption Perception Index (CPI) in risk assessments	The requirements have been incorporated into Annex A.
ADVICE-40-005-13 Is there any difference in the interpretation of High Conservation Value Forests (HCVF) between the FSC Controlled Wood standard and the FSC Principles and Criteria?	
ADVICE-40-005-14 How can low risk be confirmed for Category 'C' of FSC-STD-40-005 V2-1 Clause 1.1?	
ADVICE-40-005-15 Field verification audits, results, decision making and required actions.	The detailed requirements for field verification have been removed.
ADVICE-40-005-16 What is the sampling rate and pool that certification bodies shall use for field evaluations of supplies from sources with unspecified risk?	The requirements have been incorporated into Annex A.
ADVICE-40-005-17 Documentation and procedural requirements to demonstrate the geographical district of origin for co-products	The requirements have been updated and included in Section 2.
ADVICE-40-005-18 Independence of the company verification program	The requirements have been kept, and the scope of the standard and the requirements for the DDS do not allow the standard to be implemented by an organization to evaluate forests it owns or manages unless an FSC risk assessment for all five controlled wood categories has been scheduled for an area covering the supply units by 31 December 2017.
ADVICE-40-005-19 Applicable National and Local Laws and Regulations for Controlled Wood risk assessments and verification programs	The requirements have been incorporated into Annex A.
ADVICE-40-005-20 Sourcing of co-products under the EU Timber Regulation	